

09/762067

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EXPRESS MAIL CERTIFICATE

Date 1/31/01 Label No. 6670671994205  
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Name (Print)

Signature

1946/1D864US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Date: January 29, 2001

In Re Application of:

**David Gschneidner**

Serial No: To be assigned  
(U.S. National Phase of  
International Application No. PCT/US99/17947  
Filed: 06 August 1999

Filed: Concurrently herewith

For: **COMPOUNDS AND COMPOSITIONS FOR DELIVERING ACTIVE AGENTS**

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231  
ATTN: DO/EO/US

Sir:

In order to comply with discretionary regulations 37 CFR 1.97 and 1.98, attached hereto is Form PTO-1449, and copies<sup>1</sup> of the documents listed thereon. These

<sup>1</sup>To the extent that a document is listed and no copy of same is attached, then such document is not at the present time available to the undersigned or is available in the file of a parent application. If a listed document is not in the English language and an English translation is readily available, such translation is also attached; if translation is not attached it is not readily available to the undersigned. If a foreign language patent document is

documents contain information which the Examiner may consider to be important in deciding whether to allow the present application to issue as a patent.

Applicants have become aware of the references disclosed herewith pursuant to International Search Report, mailed December 7, 1999, a copy of which is enclosed, which was received in the corresponding international application.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The present Disclosure Statement is being submitted in compliance with 37 CFR 1.56 insofar as an Examiner might consider any of the cited documents important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an

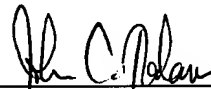
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cited, and an English language equivalent is known to the undersigned, then such equivalent patent is also cited on the attached form along with the corresponding foreign language patent and a connecting arrow indicated therebetween; if no such English language equivalent is cited, then none is known to the undersigned.

independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,



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SHEET 1 OF 1

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

(REV. 7-80)

**LIST OF REFERENCES CITED BY APPLICANT**

(Use Several Sheets if Necessary)

DOCKET NO.: 1946/1D864

SERIAL NO: (U.S. National Phase  
of International Application No.  
PCT/US99/17974, filed  
06 August 1999)

APPLICANT: **David Gschneidner, et al.**

FILING DATE: Concurrently herewith

**U.S. PATENT DOCUMENTS**

<u>*EXAMINER INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>NAME</u>	<u>CLASS SUBCLASS</u>	<u>FILING DATE</u>
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**FOREIGN PATENT DOCUMENTS**

<u>*EXAMINER INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>COUNTRY</u>	<u>CLASS SUBCLASS</u>	<u>TRANSLATION YES NO</u>
	96 12473 A	5/2/96	WO		
	96 12474 A	5/2/96	WO		
	96 12475 A	5/2/96	WO		
	96 21464 A	7/18/96	WO		
	96 30036 A	7/18/96	WO		
	97 36480 A	10/9/97	WO		
	98 21951 A	5/28/98	WO		
	98 34632 A	8/13/98	WO		
	98 49135 A	11/5/98	WO		
	99 16427 A	4/8/99	WO		

**OTHER REFERENCES**

**(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)**

\*EXAMINER  
INITIALS

EXAMINER: \_\_\_\_\_ DATE CONSIDERED: \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.